



National Institutes of Health  
*Office of Data Science Strategy*

# Creation of AI Working Group

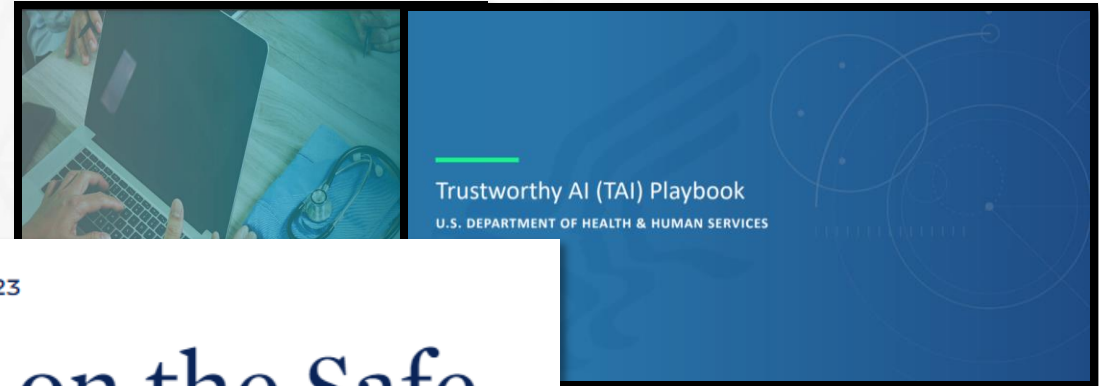
Exploring NIH's Strategies, Challenges, and an AI Vision for the Future

Lawrence Tabak, DDS, PhD, *Principal Deputy Director, NIH*

Susan Gregurick, PhD, *Associate Director for Data Science, NIH*

*June 14, 2024*

# Accelerating Trustworthy AI



<https://www.ai.gov/strategic-pillars/advancing-trustworthy-ai/> **OCTOBER 30, 2023**

## Executive Order on the Safe, Secure, and Trustworthy Development and Use of Artificial Intelligence



<https://www.hhs.gov/sites/default/files/hhs-ai-strategy.pdf>  
<https://www.hhs.gov/sites/default/files/hhs-trustworthy-ai-playbook.pdf>

 **BRIEFING ROOM**  **PRESIDENTIAL ACTIONS**

<https://www.federalregister.gov/documents/2020/12/08/2020-27065/promoting-the-use-of-trustworthy-artificial-intelligence-in-the-federal-government>



**BLUEPRINT FOR AN AI BILL OF RIGHTS**  
MAKING AUTOMATED SYSTEMS WORK FOR THE AMERICAN PEOPLE  
OSTP

**Artificial Intelligence/Machine Learning (AI/ML)-Based Software as a Medical Device (SaMD) Action Plan**

**U.S. FOOD & DRUG ADMINISTRATION**  
CENTER FOR DEVICE & DIAGNOSTIC HEALTH

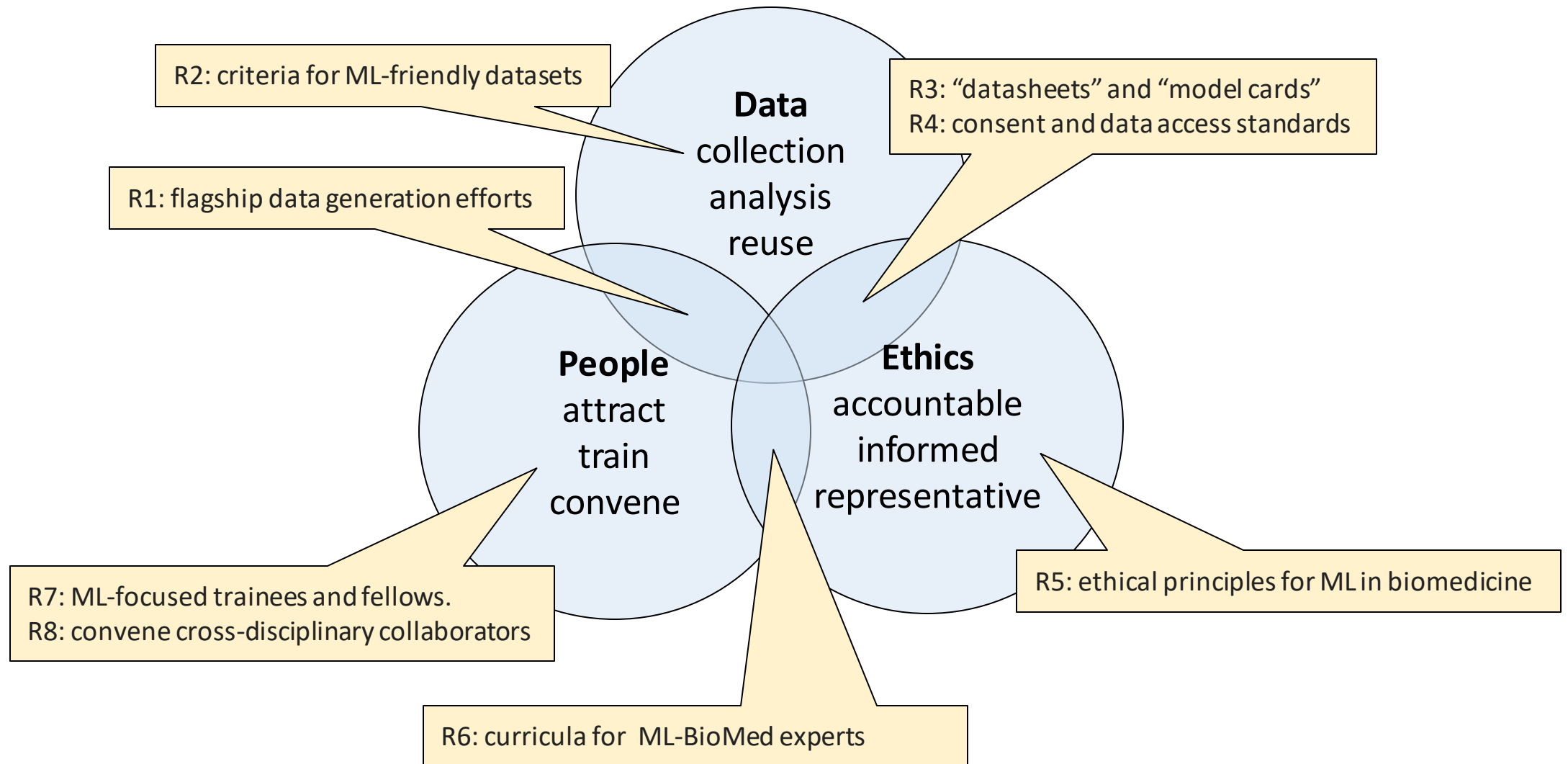
Among the great challenges posed to democracy today is the use of technology, data, and automated systems in ways that threaten the rights of the American public. Too often, these tools are used to limit our opportunities and prevent our access to critical resources or services. These problems are well documented. In America and around the world, systems supposed to help with patient care have proven unsafe.

**BLUEPRINT FOR AN AI BILL OF RIGHTS**  
What is the Blueprint for an AI Bill of Rights?  
Applying the Blueprint for an AI Bill of Rights

# Executive Order on the Safe, Secure, and Trustworthy Development and Use of Artificial Intelligence

- **Investments in AI-related education, training, development, research, and capacity**
- Establish a program to identify and **attract top talent in AI**, and other critical and emerging technologies, at universities, research institutions, and the private sector overseas
- Launch a pilot program **implementing the National AI Research Resource (NAIRR)**
- Support **2024 Leading Edge Acceleration Project** cooperative agreement awards to improve healthcare-data quality, support the responsible development of AI tools for clinical care, real-world-evidence programs, population health, public health, and related research
- **Accelerate the National Institutes of Health Artificial Intelligence/Machine Learning Consortium to Advance Health Equity and Researcher Diversity (AIM-AHEAD)** program

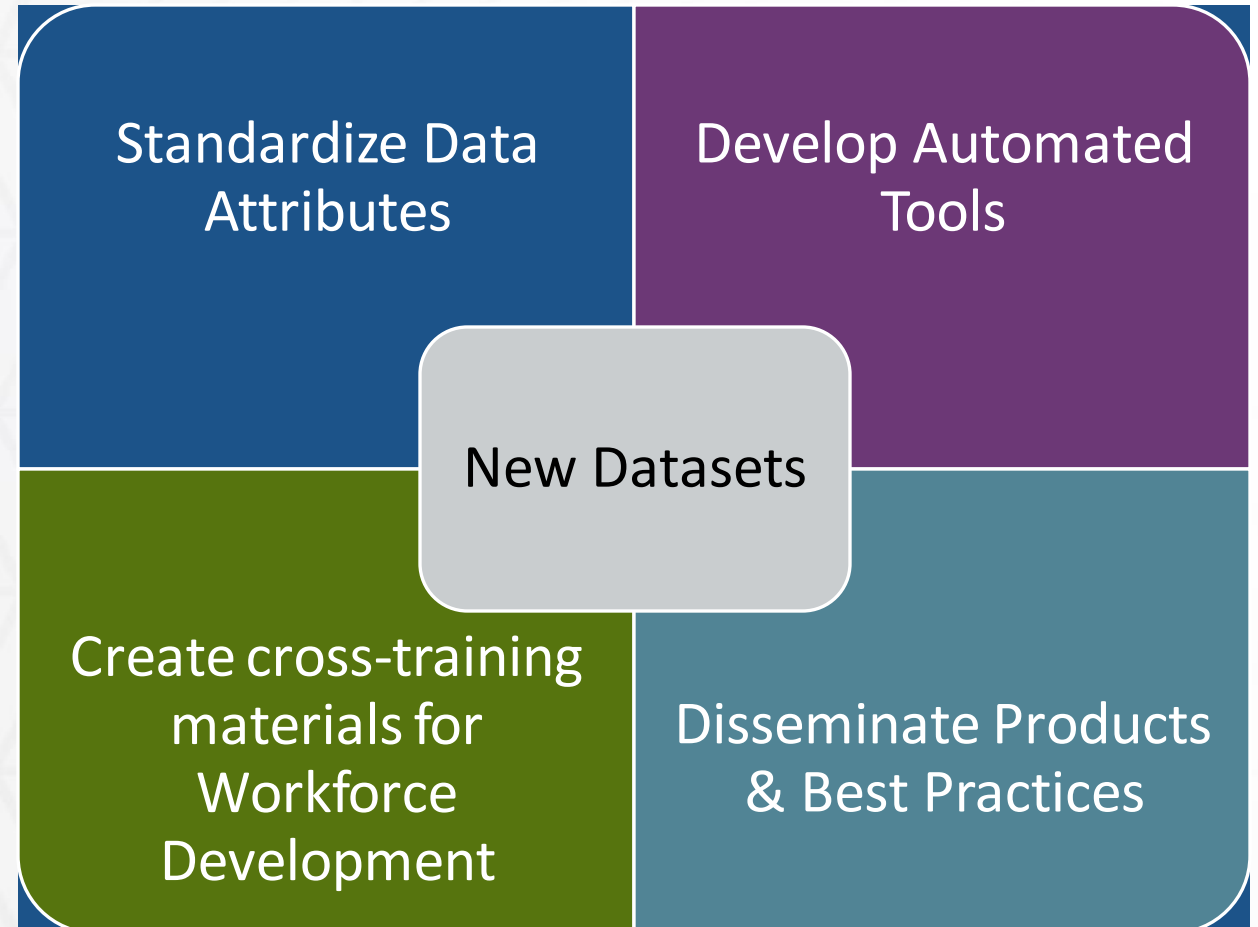
# Recommendations from 2019 ACD AI Working Group



# Bridge2AI Program Goals

Determining how to:

- Use biomedical and behavioral research grand challenges to generate **flagship data sets**
- **Prepare** AI/ML-friendly data
- Emphasize **ethical** best practices
- Promote **diverse teams**





# Bridge2AI Milestones

The program established a public portal (<https://bridge2ai.org/>) for disseminating information regarding program activities and products.

## Data

- The four data generation projects (DGPs) have started to release data
- External users worked with data in April 2024

## People

- 5 internship programs to train researchers in both AI and biomedical research

## Ethics

- Paper submitted (under review) which discusses the ethics considerations as part of data and AI modeling lifecycle

# About the Artificial Intelligence/Machine Learning Consortium to Advance Health Equity and Researcher Diversity (AIM-AHEAD)



## Goals

Enhance the **participation** and **representation** of researchers and communities currently underrepresented in the development of AI

**Address health disparities** and inequities using AI/ML

**Improve the capabilities** of this emerging technology

<https://aim-ahead.net/>

# AIM-AHEAD Impact | Year 1 & 2 Awards

AIM-AHEAD supported over **274 awards** to increase researcher diversity, address data & AI biases, engage underserved communities, and build institutional capacity



## Training Programs

- Leadership Fellowship (50)
- Research Fellowship (47)



## Community Engagement

- Hub Pilot Projects (35)



## AI Health Equity Research

- Pilot Projects (21)
- Consortium Projects (21)



## Institutional Capacity Building

- Program for Artificial Intelligence Readiness (15)
- Data and Infrastructure Capacity Building (13)



**Joint training to increase researcher diversity in AI/ML by leveraging *All of Us* and N3C datasets, infrastructure, and training components.**



**212 Applications**  
**25 trainees**



**120 Applications**  
**50 trainees**

## Impact

as of June 5, 2024

**4,418+** **Total Members**

**2,519** **Mentees**

**1,170** **Mentors**

**1,306** **Institutions**

**AIM-AHEAD Named**  
**in White House**  
**Executive Order**



# Examples of AIM-AHEAD Supported AI Studies

AIM-AHEAD-supported studies have appeared in high-impact journals, including *Nature Communication*, *Scientific Report*, *Journal of Medical Internet Research AI*, *PLOS One*, *Journal of Clinical Oncology*, *Journal of Systemics, Cybernetics and Informatics*, etc.

Journal of Systemics, Cybernetics and Informatics (2023) 21(2), 13-20  
<https://doi.org/10.54808/JSCI.21.02.13>

## Teaching Health Informatics in Middle School: Experience from an NIH AIM-AHEAD pilot

Gregory TARDIEU  
Alexandria City Public Schools  
Alexandria, VA 22314, USA

Senait TEKLE  
Biomedical Informatics Center, The George Washington University  
Washington, D.C. 20037, USA

Linda ZANIN  
Alexandria City Public Schools  
Alexandria, VA 22314, USA

THELMA GARDNER



Published on 6.12.2023 in Vol 2 (2023)

Preprints (earlier versions) of this paper are available at <https://preprints.jmir.org/preprint/52888>, first published September 18, 2023.







### Developing Ethics and Equity Principles, Terms, and Engagement Tools to Advance Health Equity and Researcher Diversity in AI and Machine Learning: Modified Delphi Approach

Rachele Hendricks-Sturup<sup>1</sup>; Malaika Simmons<sup>1</sup>; Shilo Anders<sup>2</sup>; Kammarauche Aneni<sup>3</sup>; Ellen Wright Clayton<sup>2</sup>; Joseph Coco<sup>2</sup>; Benjamin Collins<sup>2</sup>; Elizabeth Heitman<sup>4</sup>; Sajid Hussain<sup>5</sup>; Karuna Joshi<sup>6</sup>; Josh Lemieux<sup>7</sup>; Laurie Lovett Novak<sup>2</sup>; Daniel J Rubin<sup>8</sup>; Anil Shanker<sup>9</sup>; Talitha Washington<sup>10</sup>; Gabriella Waters<sup>11</sup>; Joyce Webb Harris<sup>2</sup>; Rui Yin<sup>12</sup>; Teresa Wagner<sup>13</sup>; Zhijun Yin<sup>2</sup>; Bradley Malin<sup>2</sup>

OPEN ACCESS | ORIGINAL REPORTS |  | January 10, 2023



## Derivation and Validation of a Clinical Risk Assessment Model for Cancer-Associated Thrombosis in Two Unique US Health Care Systems

Authors: Ang Li, MD, MS , Jennifer La, PhD , Sarah B. May, MS , Danielle Guffey, MS, Wilson L. da Costa Jr, PhD , Christopher I. Amos, PhD , Raka Bandyo, MS, ... [SHOW ALL](#) ..., and Nathanael R. Fillmore, PhD  | [AUTHORS INFO & AFFILIATIONS](#)

Publication: Journal of Clinical Oncology • Volume 41, Number 16 • <https://doi.org/10.1200/JCO.22.01542>

# NIH wide AI Collaborative Programs

Ethics, Bias, and Transparency for People and Machines

Improving the AI-readiness of Existing, IC-supported Data

Addressing the Workforce Gap in Data Governance for AI in Biomedicine

## Impact 2021-2023

**\$54M**

In funds to investigators

**152**

Investigators

**14**

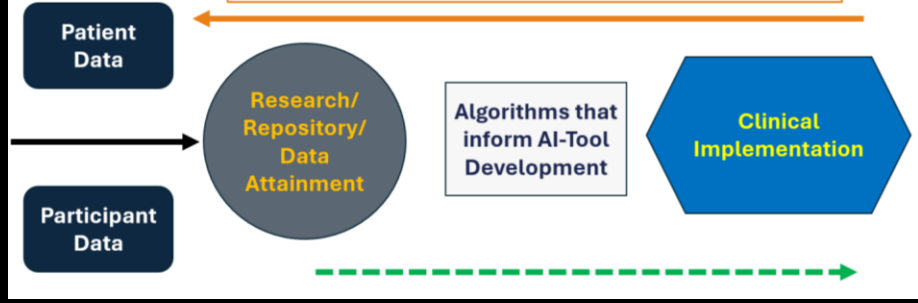
Idea State Insitutions

**4**

Workshops, Labs, PI meetings

# NIH wide AI Collaborative Impacts

Potential harms & unintended consequences flow back to individuals and subgroups (discriminatory interpretations, inequity in access, confidentiality/privacy breach)



**PI:** Alex Federman, Icahn School of Medicine at Mount Sinai  
**TITLE (IC):** Natural Language Processing and Automated Speech Recognition to Identify Older Adults with Cognitive Impairment Supplement (NIA)  
**HIGHLIGHTS:** Qualitative Examination of Patients' and Clinicians' perspectives on AI-driven Automated Screening for Cognitive Impairment

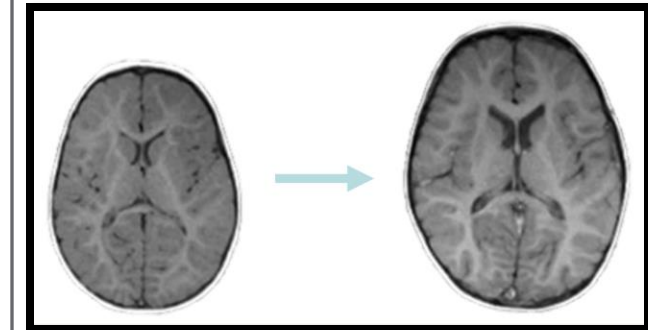
**PI:** GILMORE, JOHN HORACE, UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL

**TITLE (IC):** Rescuing Missed Longitudinal MRI Scans in the UNC Early Brain Development Study (EBDS)

**HIGHLIGHTS:** Developed AI methods to fill in missing brain images in cohort studies of early brain development, thereby making a complete AI-ready dataset for further analyses.

**RESEARCH PRODUCTS:** Code and documentation:

<https://github.com/yoonmihong/DeepImputation>



**PI:** Ana Patricia Ortiz, University of Puerto Rico Comprehensive Cancer Center  
**TITLE (IC):** Preparing a workforce to apply AI/ML techniques to datasets derived from Hispanic populations to advance cancer prevention and control research (NCI)  
**HIGHLIGHTS:** Training focuses on techniques to manipulate/pre-process cancer datasets from Hispanic populations and make them FAIR & AI-Ready and methods to create predictive models for cancer diagnosis with focus on datasets from Hispanic populations.

# Multimodal AI

*Embed in context of ethical, trustworthy AI practices and assessment*

## Expected outputs:

- New systems-level biomedical research using multimodal AI technologies
- Elucidation of the unique opportunities, risks, and challenges for applying multimodal AI methods
- Identification of considerations for the appropriate use of multimodal AI, relative to other methodologies



The poster features the NIH logo and the text 'National Institutes of Health Office of Data Science Strategy' at the top. The main title is 'Research Opportunity Announcement! Apply to the Advancing Health Research Through Ethical, Multimodal AI Initiative'. The background is dark blue with a glowing hand holding a glowing sphere, surrounded by futuristic digital graphics. At the bottom, there are three columns of information: 'INFORMATIONAL WEBINAR: April 19, 2024, 2-3pm EDT', 'LETTER OF INTENT (OPTIONAL) DUE: April 29, 2024', and 'PROPOSALS DUE: May 16, 2024'. A green bar at the very bottom contains a play button icon and the text 'LEARN MORE: datascience.nih.gov/MultimodalAI' and 'CONTACT US: ODMultimodalAI@od.nih.gov'.

**NIH** National Institutes of Health  
Office of Data Science Strategy

Research Opportunity Announcement!  
**Apply to the Advancing  
Health Research Through Ethical,  
Multimodal AI Initiative**

**INFORMATIONAL  
WEBINAR:**  
April 19, 2024, 2-3pm EDT

**LETTER OF INTENT  
(OPTIONAL) DUE:**  
April 29, 2024

**PROPOSALS  
DUE:**  
May 16, 2024

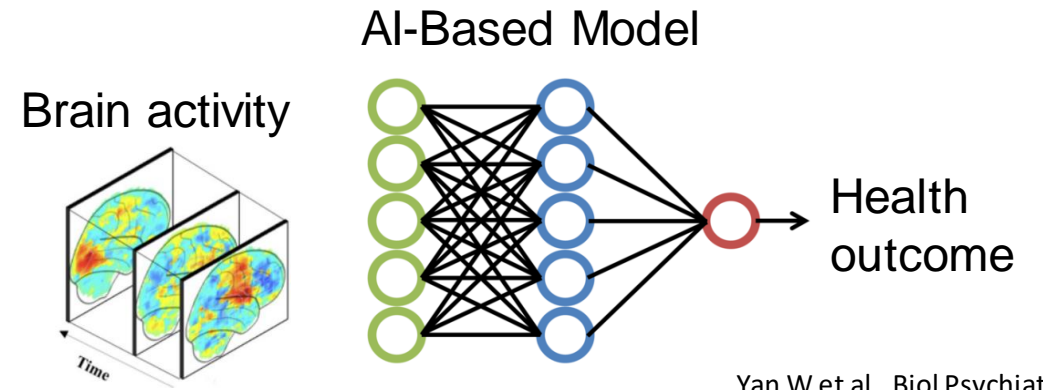
**LEARN MORE:** [datascience.nih.gov/MultimodalAI](https://datascience.nih.gov/MultimodalAI)  
**CONTACT US:** [ODMultimodalAI@od.nih.gov](mailto:ODMultimodalAI@od.nih.gov)



# Example of NIDA-sponsored AI studies in human neuroscience

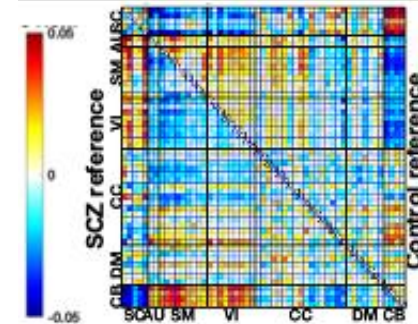
- AI can be used to predict health-related variables based on brain activity patterns
- To generate reproducible markers, extremely large samples may be needed
- We can use cutting-edge methods from AI, to translate AI-based models from big datasets to independent smaller datasets

(He et al, 2022)

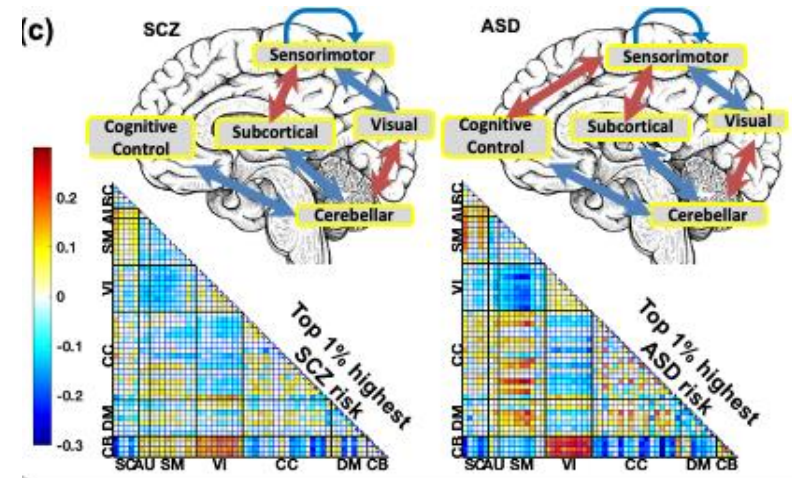
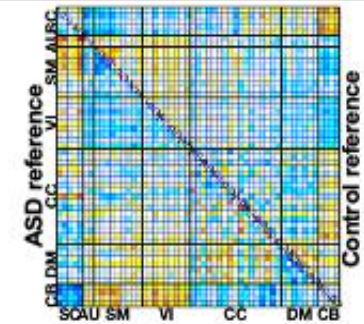


Yan W et al., Biol Psychiatry. 2023

## Schizophrenia



## ASD





# Agency Collaborations

- Machine Learning and Artificial Intelligence NSTC Subcommittee
- National AI Research Resource (NAIRR)
- Health and Human Services AI Task Force
- NCI-DOE Collaboration for Advanced AI to end Cancer

Impacts of NCI-DOE collaboration:

- **30 AI/ML publicly-available resources**
- **134 publications** since 2016
- **50+ public and private organizations** participating in innovation challenges



# Strengthening and Democratizing the U.S. Artificial Intelligence Innovation Ecosystem

*An Implementation Plan for a  
National Artificial Intelligence Research Resource*



January 2023

# Agency Collaborations: National AI Research Resource (NAIRR)

**National AI Research Resource:** a shared research infrastructure facilitating access to compute, software, datasets, models, training and user support for researchers and students

**Objective:** To strengthen and democratize the U.S. AI Innovation ecosystem in a way that protects privacy, civil rights, and civil liberties

## Goals:



Spur  
innovation



Increase the **diversity**  
of talent in AI



Improve U.S.  
**capacity** for AI R&D



Advance  
**trustworthy AI**

**NSF | NIH | DOE | NASA | NOAA**

# NIH Contributions to the NAIRR Pilot



**DATA:** NIH contributes large amounts of curated, interoperable, **AI-Ready Data**



**SECURITY:** NIH brings different data together in secure, privacy-preserving ways to **protect patient safety in AI**



**TRUSTWORTHY AND ETHICAL AI:** NIH adopts ethical practices and addresses bias, diversity, and transparency to **build trustworthy AI**



**BROADENING PARTICIPATION:** NIH cloud-based platforms and programs **lower the barriers to data science and grow capacity** in under-served and -resourced communities

# NIH Contributions to NAIRR Pilot

|                       |  |
|-----------------------|--|
| <b>Governance</b>     | <ul style="list-style-type: none"> <li>• Experience developing and overseeing federated interoperability</li> </ul>  |
| <b>NAIRR Open</b>     | <ul style="list-style-type: none"> <li>• Integration of <i>ImmPort</i> datasets into the NAIRR</li> <li>• Integration of Health Equity Action Network (HEAN) datasets and <i>ScHARE</i> analysis tools into the NAIRR</li> </ul> |
| <b>NAIRR Secure*</b>  | <ul style="list-style-type: none"> <li>• Integration of the Medical Imaging and Data Resource Center (<i>MIDRC</i>) and National COVID Cohort Collaborative (<i>N3C</i>) into NAIRR Secure</li> </ul>                            |
| <b>Software Stack</b> | <ul style="list-style-type: none"> <li>• Coordinate with NSF and DOE a NAIRR software stack community workshop</li> </ul>  |
| <b>Classroom</b>      | <ul style="list-style-type: none"> <li>• NIH Cloudfab and other platform tools leveraged in NAIRR</li> </ul>   |
| <b>Outreach</b>       | <ul style="list-style-type: none"> <li>• Leverage NIH networks to attract diverse users and data</li> </ul>  |

\* NIH and DOE jointly lead NAIRR Secure

## NIH Data and Computational Infrastructure Ecosystem





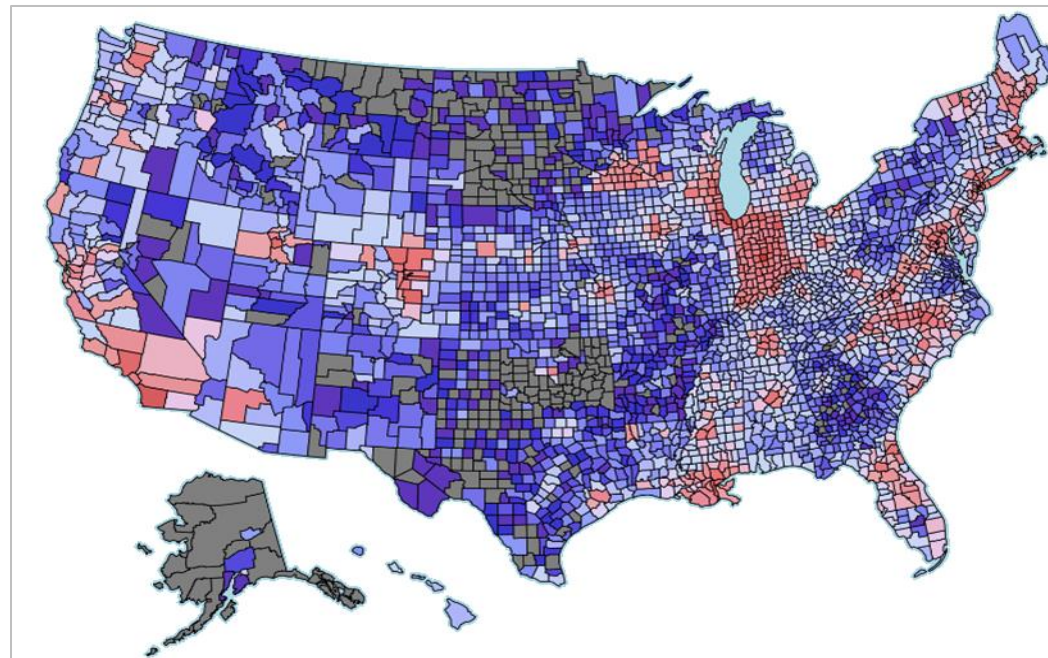


# AI Ready Datasets for COVID and Clinical Modeling

## National COVID Cohort Collaborative (N3C)



*Data Diversity,  
Representation, and  
Harmonization are  
foundations for  
robust, trustworthy AI*



Geographics: 50/50 States >92% of all US Counties in USA  
 Representative of US population  
 Source: Community, Academic, FQHCs  
 Patient Mix: Inpatient ~20%, Outpatient ED ~80%  
 Longitudinal Data: 1/1/2018 to Present

<https://covid.cd2h.org/>

|  |   |
|--|---|
| COVID+ CASES<br><u>8.9m</u>              | Patients<br><u>22.5m</u>                    |
| Rows of Data<br><u>32.7b</u>             | Contributors<br>Health Systems<br><u>84</u> |
| Institutions<br>Using Data<br><u>391</u> | Active<br>Investigators<br><u>&gt;3900</u>  |
| Research<br>Studies<br><u>553</u>        | Citations &<br>H-Index.<br><u>3890/29</u>   |





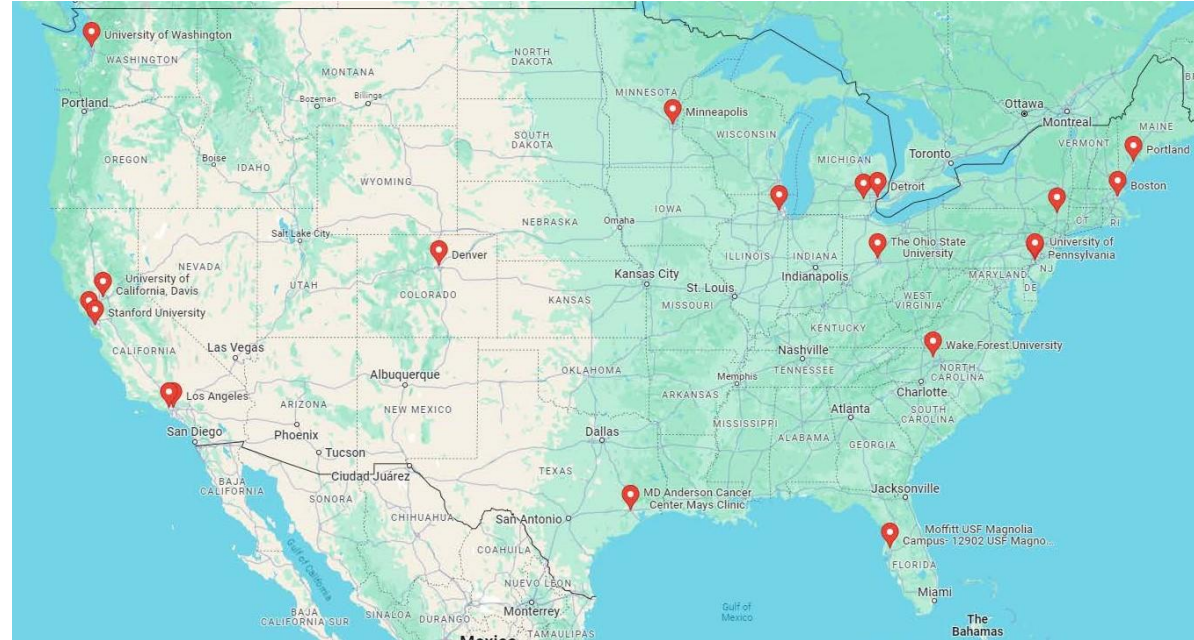


# AI Ready Datasets for Medical Imaging AI Applications

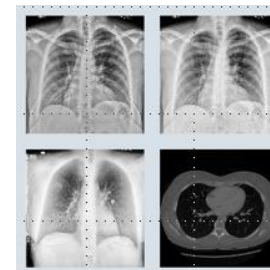
## Medical Imaging and Data Resource Center (MIDRC)



*Information dense  
medical imaging  
data has many  
applications in AI  
algorithm  
development*



\* Map of MIDRC Investigators  
A collaboration of ~20 institutions &  
> 100 investigators from academia, community  
practices, FDA, and others



### MIDRC BY THE NUMBERS



<https://www.midrc.org/>



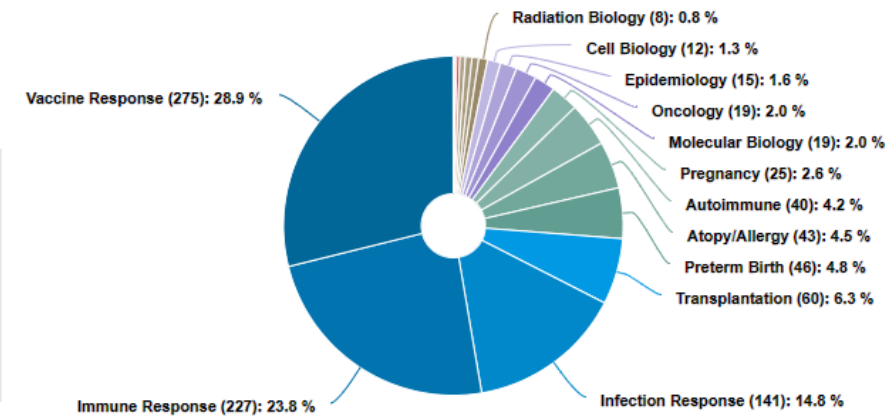
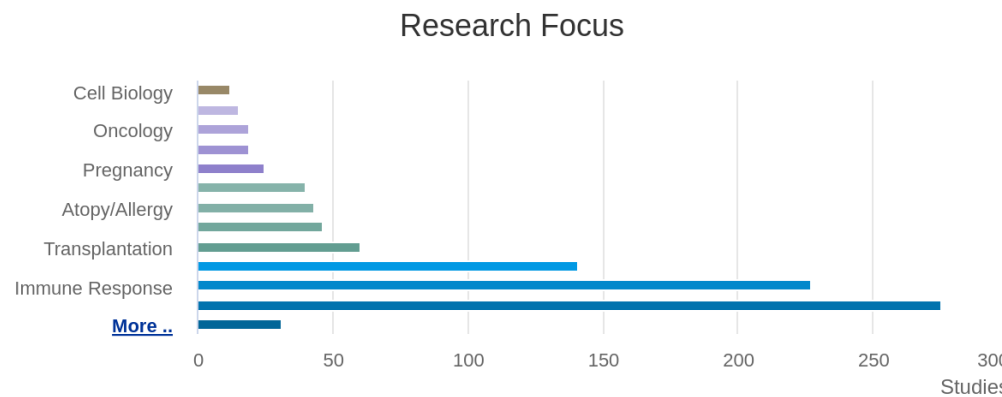
# AI Ready Datasets for Immunology Research

## ImmPort



*Immunology data supports AI development and data reuse in a broad range of applications across test and study types*

|             |               |           |
|-------------|---------------|-----------|
| Studies     | Subjects      | Diseases  |
| 952         | 101125        | 160       |
| Experiments | Total Results | Lab Tests |
| 3669        | 7037834       | 1286834   |



<https://import.org/shared/home>



**IMMPORT**  
BIOINFORMATICS FOR THE FUTURE OF IMMUNOLOGY



National Institute of Allergy and Infectious Diseases



# AI Ready Datasets for Social Determinants of Health (SDOH) ScHARe Health Equity Action Network (HEAN)



***Social Determinants of Health brings the lived experience to AI algorithms and meets communities where they are***



- ScHARe Cloud Platform host over 245 national federated data sets focused on population science, social determinants of health (SDoH), environmental and behavioral data
- ScHARe data repository will be available in Sept 2024 to host NIH funded project's data targeting social science and behavioral research, especially in areas of health disparities, healthcare delivery and health outcomes data
- HEAN (Health Equity Action Network)
  - Focus on chronic disease and relationship of the SDoH - 7 of 29 initial R01-level projects have transferred data to the RCC for a total of 639 records
  - Aligned data with ScHARe CDEs to enhance data integration and interoperability

<https://www.nimhd.nih.gov/resources/schare/>



National Institute  
on Minority Health  
and Health Disparities

# AI at NIH

- AI and machine learning methods are not new to NIH
- We lack data resources at a scale necessary to optimally use AI/ML methods to improve health
  - Data relevant to decision making for individual patients, in real time
  - Data to drive innovation in technology development, new therapeutics and prevention methods, and health care delivery
  - Data to eliminate disparities: rural, elderly/adolescents, designated populations with health disparities
- We must respectfully engage people to earn trust
- Diversity is critical to avoid harm to some populations
- Current needs:
  - Dramatic increase in data collection from the clinical care environment, including all populations
  - NIH resource to facilitate optimal and especially ethical use of AI for health

# Charge to the AI Working Group

*The ACD AI WG is charged with articulating a strategic and integrated vision for biomedical research opportunities that would benefit from developing and application of novel AI methods. In considering this charge, the AI WG's recommendations should address the following:*

- Assess progress to date and develop a framework to support strategic priorities and biomedical research opportunities in AI, particularly involving the development and application of novel methodologies (i.e. foundational models, multimodal generative AI, Edge AI, etc) for knowledge discovery and human health. This should include the necessary data and computing resources that will be required for using and scaling AI in biomedicine, allowing for interdisciplinary collaboration across fields.
- With respect to these priorities, define the potential privacy, security, ethical, policy, and cost challenges that NIH should consider in supporting and deploying AI to maximally benefit the biomedical enterprise. Consider potential approaches for mitigating these challenges, including new areas of science that could be developed.
- Recommend strategies for ensuring equitable benefits result from these strategic priorities, including equitable benefits in inclusive algorithmic development, the application of transparent and explainable AI, and collaborative training programs to enable a health learning environment using AI and AI-enabled tools.